

# **JSS UNIVERSITY**

(Established under Section 3 of the UGC Act, 1956)

JSS Medical Institutions Campus

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## **REGULATIONS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY**

**Ph.D., 2010**

**JSS University, Mysore**

**Ph.D., Regulations**

**2010**

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**SECTION II : APPLICATION FORMS/ANNEXURES /REPORTING  
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# **JSS University, Mysore**

## **Ph.D., Regulations**

**2010**

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## JSS UNIVERSITY, MYSORE

### REGULATION FOR THE DEGREE OF DOCTOR OF PHILOSOPHY

Ph.D., 2010

#### SECTION I

#### CURRENT REGULATIONS

##### 1. PREAMBLE

The Degree of **Doctor of Philosophy** (Ph.D.,) is the **Research Degree** of this University.

In exercise of the powers conferred by Section 4(i) of the MoA, the Academic Council of the JSS University, Mysore, makes the following regulations;

The Ph.D., degree is awarded to a candidate, who, as per these regulations, has submitted a thesis on the basis of original research work done by him / her in any particular discipline or more than one discipline (interdisciplinary), that makes a contribution to the advancement of knowledge or to innovative methods of application of existing knowledge, so as to contribute to the betterment of lives of the people and has had the thesis approved by suitably constituted examiners as required.

##### 2. SHORT TITLE AND COMMENCEMENT

1. These regulations shall be called “The Regulations for the Degree of **Doctor of Philosophy** (Ph.D.,) of JSS University, Mysore”.
2. They shall come into force from January 1, 2009 onwards.
3. The Regulations framed are subject to modifications from time to time as decided by the Academic Council of this University.

##### 3. ELIGIBILITY

1. The Ph.D., degree shall be awarded by this University under any one of the recognized faculties of this University.
2. A recognized **qualifying higher specialty degree, postgraduate degree/diploma** is essential with a minimum of 50% of marks in the qualifying examination or a second class or equivalent in the qualifying postgraduate degree/diploma. The qualifying degree has to be registered with the respective Councils as the case may be.
3. Candidates with the following qualifications are eligible for registering for the Ph.D., degree.

A. Medical	
i. Medical higher specialties	MD/DM/MS/MCH/National Board Diploma in different branches/specialties
ii. Master of science (Medical) in different specialties	MSc., (Medical) in different specialties
B. Dental	
i. Dental higher specialties	M.D.S., in different branches
ii. Master of science degree in different specialties	B.D.S., + MSc., (Medical) in different specialties
C. Pharmacy	M. Pharm., (Master's Degree in Pharmacy in different branches / specialties)
D. Indian medicine	M.D., Siddha in different specialties. M.D., Ayurveda. M.D., Yoga and Naturopathy M.D., Unani
E. Homeopathy	M.D., Homeopathy
F. Nursing	M.Phil / M.Sc., (Nursing in different branches / specialties)
G. Physiotherapy	M.P.T. (Masters Degree in Physiotherapy in different Branches / Specialties)
H. Occupational Therapy	M.O.T. (Master of Occupational Therapy in different Branches / Specialties)
I. Public Health	M.P.H. (Master of Public Health)
J. Master of science (non-medical)	M.Sc., in different branches like, Microbiology, Biochemistry, Epidemiology, Biotechnology, Biostatistics, Nutrition & Dietetics, Medical Sociology, Bio-Medical Engineering, etc.
K. Miscellaneous	M. Phil./M.A., Sociology / Social Work, Psychology, etc.

4. The above **qualifying degrees** should be from the Faculties of this University or any other University recognised by the Association of Indian Universities, Medical Council of India, Central Council of Indian Medicine, Central Council of Homoeopathy, Indian Nursing Council, Dental Council of India, Pharmacy Council of India, All India Council for Technical Education, and other approved recognised Scientific Bodies of the Government of India, etc., and approved by the Academic Council / Board of Management of this University for Provisional Registration for the Ph.D., Degree.

#### 4. PROVISIONAL REGISTRATION SESSIONS

The Provisional Registration for Ph.D., Degree shall be made in four sessions during an academic year as given in the below,

<u>Registration Session</u>	<u>Last date for submission of applications</u>
January	December, 31
April	March, 31
July	June, 30
October	September, 30

#### 5. PROCEDURE FOR PROVISIONAL REGISTRATION

1. A Candidate shall register himself / herself in this University by submitting the duly filled application, obtained from the university along with other details for provisional registration by remitting the prescribed fees for the same. The application form may also be downloaded from the University website [www.jssuni.edu.in](http://www.jssuni.edu.in)
2. The cost of the application form is the amount prescribed by this University from time to time as applicable.
3. If the application form is downloaded from the website, the cost of application form has to be paid at the time of submission for the provisional registration.

#### 6. CATEGORIES FOR PROVISIONAL REGISTRATION

Provisional registration shall be done under the following categories as the case may be;

1. Non interdisciplinary (single discipline) category
  - a. Medical
  - b. Dental
  - c. Pharmacy
  - d. Indian System of Medicine/Homeopathy
  - e. Miscellaneous
2. Interdisciplinary (multidiscipline) category

It includes all other candidates not belonging to the Non-Interdisciplinary (single discipline) category.

#### 7. REQUISITES FOR PROVISIONAL REGISTRATION

The Essential requisites for provisional registration are below,

1. Eligible Qualifications
2. Recognized Department / Institution
3. Recognized guide
4. Approved co-guide, if any
5. Ethical Committee Clearance

## **8. RECOGNISED DEPARTMENTS / INSTITUTIONS**

1. Candidates applying for Provisional Registration shall select recognized / approved departments / institutions of this University which are approved and recognized by the Medical Council of India and other approved Councils of the concerned faculty like, All India Council for Technical Education, Indian Nursing Council, Dental Council of India, Pharmacy Council of India, and other Councils which are approved by the Board of Management of this University.
2. The list of the recognized branches/departments/institutions of this University for Ph.D., research work is given in the table below.

i. JSS Medical College, Mysore

Anatomy  
Physiology  
Biochemistry  
Pharmacology  
Pathology  
Microbiology  
Community Medicine  
Forensic Medicine  
General Medicine  
General Surgery  
OBG  
Paediatrics  
Orthopaedics  
ENT  
Anaesthesia  
Ophthalmology  
Dermatology, Venerology Leprosy  
Psychiatry

ii. JSS Dental College, Mysore

Oral Medicine & Radiology  
Paediatric & Preventive Dentistry  
Oral & Maxillofacial Surgery  
Conservative & Endodontics

Periodontology  
Public Health Dentistry  
Prosthodontics and Crown & Bridge  
Orthodontics & Dentofacial Orthopaedics

iii. JSS College of Pharmacy, Mysore

Industrial Pharmacy/Pharmaceutics  
Pharmacy Practice  
Pharmacology

iv. JSS College of Pharmacy, Ooty

Pharmaceutics  
Pharmaceutical Chemistry  
Pharmacognosy  
Pharmacology  
Pharmaceutical Analysis  
Pharmaceutical Biotechnology  
Pharmacy Practice  
Phytopharmacy & Phytomedicine

3. The above institutions are offering approved and recognised postgraduate degrees / diplomas in the different subjects / specialties in the departments mentioned and are recognised and/or affiliated to this University and recognised by the Medical Council of India and the other Councils

#### **9. SPLIT Ph.D., PROGRAMME**

1. The split Ph.D., program is designed to provide a chance to the candidates to conduct a portion of their Ph.D., work in a recognized foreign University/Institution or a recognised Indian University/Institution with which J.S.S. University has signed an academic MoU.
2. The basic objective of this scheme is to develop good research relationships and future collaborative research projects and to bring the International/National Universities, Research Institutes and Industries closer with the host university through Ph.D., Programs. While eligibility, admission procedures, duration, functions of Research Advisory Committee, Research Methodology, Thesis submission and valuation of thesis will be the same for the general Ph.D., regulations, the following would be the norms and guidelines for the split Ph.D., Program;
  - i. The partnering institution should have signed an academic MoU with J.S.S. University.
  - ii. The maximum period of research that can be conducted with the partnering institution normally shall not exceed ONE YEAR.

- iii. The expert under whom the candidate proposes to work for the split Ph.D., program shall be suggested by the guide as a co-guide and would also be a member of the Research Advisory Committee.
- iv. The progress report of work done by the candidate in the external institution shall be submitted to the Controller of Examinations through the guide by the external expert/co-guide.
- v. All financial commitments required for carrying out the research work at the partnering institution shall be borne by the candidate.
- vi. The degree on successful completion would be awarded only by J.S.S. University with a mention of the name of the partnering institution.

## 10. RECOGNISED GUIDE

1. It is the prerogative of the candidate to choose a suitable recognized guide in relation to his/her research topic.
2. The selected person should be a recognized guide of this University.
3. The list of recognized guides is furnished in the University website at **www.jssuni.edu.in**
4. Further information and details about the recognized guides can be obtained from the university office.
5. The recognised guide shall officiate upto the age of 70 years and he/she shall not enroll new candidates after the age of 67 years.
6. Each guide is entitled to enroll a maximum of 6 (six) candidates. A guide at all times is entitled to have a maximum of 6 (six) registered candidates.
7. Duties of the recognised guide are,
  - a. The guide should give the consent and no objection certificate obtained from the Dean / Principal for officiating as a guide for the candidate to be registered.
  - b. The guide shall sign all the papers, declarations, certifications, authentications, log books and other related documents pertaining to the registration, submission of synopsis and thesis of the registered candidate.
  - c. The guide shall serve as a Supervisor / Convener to interact with the University.
  - d. The guide shall supervise and interact with the University for the candidates who have already submitted the Thesis till a final decision is arrived.
  - e. The guide shall suggest to the University, the members of the Research Advisory Committee to conduct the Part I Methodology Examination.
  - f. The guide shall also be the convenor and a member of the panel to conduct the Public Viva Examination.
  - g. The guide shall interact with the co-guide, who may be entrusted to continue the research work of the candidate when he/she is not in a position to do the same under the following situations;
    - When the guide has completed 70 years and till a new recognized guide takes over.
    - When the guide is on a long leave (three months and above).
    - When the guide is sick or on medical leave.
    - Other unforeseen circumstances.

8. Till a new guide is selected and appointed with the approval of the university the co-guide shall assist the candidate in his/ her research work.
9. The change of guide shall be considered only under special circumstances with the approval of the University and the candidate has to choose a new guide in accordance with the Ph.D., Regulations.
10. The change of guide is normally permissible only after the approval of the provisional registration and before the completion of the Part I methodology examination.
11. Only one change of guide is permissible under normal circumstances.
12. In case a candidate selects a faculty member who is not a recognized guide, then the selected member should first apply to the University for recognition as a guide by submitting the duly filled new guide recognition form (FORM VIII). The new guide after getting the official recognition from this university is entitled to function as a full fledged guide.
13. In case of detection of any discrepancy, conflict of interest, unethical activities, academic dishonesty etc., it is the prerogative of the University to initiate appropriate administrative and disciplinary actions that may lead to the cancellation and debarring of the guideship at any stage.

## **11. RECOGNISED CO-GUIDE**

Candidates registered under interdisciplinary category, shall have a recognised co-guide. The recognized co-guide should sign the provisional registration application form along with his/her consent and No Objection Certificate (NOC) duly signed by the Dean/Principal of the College/Institution.

- i. The recognised co-guide shall officiate upto the age of 70 years. The co-guide shall not enroll new candidates after the age of 67 years.
- ii. Each recognised co-guide is entitled to enroll a maximum of 6 (six) candidates. A recognised co-guide at all times is entitled to have a maximum of 6 (six) registered candidates .
- iii. Duties of the recognised co-guide
  - a. The co-guide should be from the department where the registered candidate is working, in the event of the selected guide working elsewhere.
  - b. A person shall be recognized as a co-guide in any faculty if he / she possesses a postgraduate degree with at least 5 years of P.G. teaching / research experience in the University department (or) in an approved affiliated postgraduate teaching institution (or) in a recognized research institution of this University with at least 2 (Two) research publications as the principal author or co-author to his / her credit in any accredited indexed journal.
  - c. The co-guide shall provide guidance and offer professional / technical assistance to the registered candidate when the selected guide is not in a position to provide the same due to the reasons mentioned in Regulation 10.
  - d. The co-guide is eligible to become a full recognized guide if he/she satisfies the stipulated norms, rules and regulations of this University for granting him/her recognition as a guide.

- e. An already recognized guide shall not be a co-guide.
- f. The list of recognized co-guides is given in the University web site **www.jssuni.edu.in**
- g. Further information and details of the recognized co-guides can be obtained from the university web site.
- h. In case of detection of any discrepancies, conflict of interest, unethical activities, academic dishonesty etc., it is the prerogative of the University to initiate appropriate administrative and disciplinary actions leading to the cancellation and debarring of the co-guideship at any stage.

## **12. ETHICAL COMMITTEE CLEARANCE**

### 1. Ethical committee clearance for experiments on human subjects

- i. Every institution giving consent and approval to do research work should have an Ethical Committee.
- ii. The Ethical Committee shall be constituted according to the guidelines given below,
  - a. Chairperson
  - b. Basic medical scientists
  - c. Clinicians (1-2) from various Institutes
  - d. One legal expert or retired judge
  - e. One social scientist/ representative of non-governmental voluntary agency
  - f. One philosopher / ethicist / theologian
  - g. One lay person from the community
  - h. Member Secretary
- iii. The Ethical Committee should study the proposed research project and should give the clearance to conduct the research and sign the certificate of the Ethical Committee Clearance enclosed in the Ph.D., Provisional Registration application Form I.
- iv. Absence of the Ethical Committee Clearance will be deemed as an incomplete application which will be rejected.

### 2. Ethical committee clearance for experiments on animals

- i. Every institution giving consent and approval to do research work in its institution involving animal studies should give the consent and approval of the Animal Ethics Committee of its institution.
- ii. The Animal Ethical Committee may be constituted as per CPSCEA guidelines.
- iii. The Animal Ethical Committee should study the proposed research project, give the clearance and sign the certificate enclosed in the Ph.D., Provisional Registration application Form I.
- iv. Absence of the Ethical Committee Clearance will be deemed as an incomplete application which will be rejected.

## **13. DURATION OF RESEARCH**

1. Full time

The candidates registered for the Ph.D., Degree as full time research shall undergo research work for a minimum period of **three years** from the date of provisional registration. These candidates shall attend the department for research on all working days, except for periods when they are allowed to visit other institution / laboratories for activities connected with his/her research for a period permitted by the concerned guide.

2. Part time candidate

The candidates registered for the Ph.D., as part time research scholars shall undergo research work for a minimum of **four years** from the date of provisional registration.

3. Part-time candidate (independent research)

Heads of Department, Professors, Additional Professors or Associate Professors who are working in any department of this University with not less than ten years of teaching experience of which five years shall be at the postgraduate level and having a minimum of three research publications either as a principal author or as a co author in any accredited journal, are eligible to register for the Ph.D., degree without a guide as "Part time Independent Research Candidate". However, such candidates shall seek the guidance/assistance of not less than three experts in the concerned field, who shall be members of faculties not below the rank of Associate Professors and possess the qualifications prescribed by the University for being a guide. They need not go through the scrutiny committee.

The said three experts shall be members of the 'Research Advisory Committee' for the respective 'Part time Independent Research Candidate' and conduct the Part I Methodology Examination at the end of the first year and send the report to the Controller of Examinations as provided in these regulations. Out of the three members of the Research Advisory Committee, one shall be the Convenor of the Committee, as may be indicated by the candidate. At the end of the prescribed period of research, the Research Advisory Committee shall submit a panel of examiners for evaluation of the thesis and conducting the public viva-voce examination.

4. Part time Research Candidates (Internal)

A candidate who fulfils the regulations as to the qualifications specified and possesses at least one year continuous experience as a permanent teaching faculty or researcher in a department/constituent college of this University on the date of provisional registration, may be permitted to register himself/herself as a part time scholar (internal) for Ph.D., degree under a guide recognised by this University. He/she shall be required to work under the guide directly at least for 90 days for instruction, discussion etc., during the prescribed period of research work. Where the guide is from an institution other than this university such candidates shall necessarily have a

co-guide from this university. The guide and co-guide shall submit certificate of attendance of such candidates (with dates) to the Controller of Examinations along with half yearly progress report

#### 5. Part-time Research Candidates (External)

Notwithstanding anything contained in these regulations, candidates possessing any one of the qualifications prescribed under regulations from this University or from any other university recognized as equivalent thereto and employed as a teacher or scientist in National/State level institutions/ Laboratories/ Research and Development (R&D) Centres/ Industries/ Universities in India and abroad, with one year continuous experience as a permanent employee and who are permitted by the respective organizations for pursuing research leading to the Ph.D., degree of this University on a part-time basis while continuing in employment, may be permitted to register for the Ph.D., programme on a part time basis as external candidates. These candidates are expected to do research in their place of employment and in addition, they should undergo such course work / examination and research work as may be prescribed by the guide/Research Advisory Committee for a minimum of nine months during the research period directly under the guide in this University. In addition to the guide from this University, they shall have a co-guide from the institution where they are employed, provided such experts are recognized as guides for the Ph.D., by this University. In the case of non-availability of a recognized guide in the institution, the candidate's research work shall be monitored by the Head of the institution. The guide of the candidate at the place of employment and at this University shall submit the certificate of attendance (with dates) to the Controller of Examinations along with half yearly progress reports.

### 14. APPLICATION FOR PROVISIONAL REGISTRATION

1. A candidate applying for provisional registration shall furnish all the information as required in the provisional registration application form (FORM 1).
2. The completely filled in application form should be submitted together with the prescribed fees as mentioned in Regulation 27, before the last date of each provisional registration session (vide Regulation 4).
3. The candidate shall also include a write-up / brief summary with minimum of five (5) pages but not exceeding ten (10) pages of the proposed research in quadruplicate.
4. The write-up should include Aims, Objectives, Materials, Methodology and Expected Contribution of the Research to the Scientific Knowledge and Academic growth and Development.
5. Each page of the write-up material should be signed by the candidate, approved and signed by the guide.
6. The candidate shall furnish the following information with documentary evidence;
  - i. His / Her academic qualifications.
  - ii. Details of previous research experience.
  - iii. Full particulars of publications in indexed / accredited journals, if any.

- iv. The broad field or the interdisciplinary field in which the candidate intends to do research work.
- v. The subject of research, whether wholly / partly related to the main branch of knowledge chosen for the postgraduation in which the candidate has qualified.
- vi. Name of the recognized guide selected.
- vii. Name of the co-guide, if any.
- viii. No objection certificate from the head of the department and the head of the institution where the research work is proposed to be done.
- ix. Ethical Committee Clearance certificates.

## **15. SUBMISSION OF APPLICATION OF PROVISIONAL REGISTRATION**

- 1. A candidate shall not directly submit the provisional registration application to this University.
- 2. The duly filled provisional registration application (FORM 1) should be submitted through the proper channel.
- 3. The provisional registration application should be routed through the Head of the Department, the Head of the Institution and other officiating administrative authorities.
- 4. The Provisional Registration application should be submitted along with the payment of the stipulated fees as mentioned in Regulation 27.

## **16 SCREENING COMMITTEE FORMATION / DUTIES**

- 1. The provisional registration application, if found complete in all aspects, shall be received by the University.
- 2. A Screening Committee shall be constituted by the University.
  - a. It shall have 3 members (out of which one shall be from the Board of Studies in Research), who are specialists in the area of proposed research work submitted by the candidate.
  - b. The Screening Committee members shall be nominated by the Vice Chancellor.
  - c. The Screening Committee shall meet periodically to study the submitted applications for provisional registration.
  - d. The Screening Committee shall submit a report regarding its recommendation, or otherwise, to the University.
  - e. The Screening Committee may suggest modifications on the research proposed for resubmission.
  - f. The Screening Committee is also empowered to reject the application, if found unsatisfactory with proper justification.
- 3. The Provisional Registration application duly recommended by the Screening Committee shall be placed before the Vice Chancellor.
- 4. The provisional registration for interdisciplinary candidates shall be placed before the Board of Studies in Research after going through the initial Screening Committee of this University.

## **17 APPROVAL OF THE PROVISIONAL REGISTRATION**

- 1 The recommendation of the Provisional Registration shall be done by the Screening Committee depending on the merits of the application.
- 2 The recommendation of the Screening Committee is an essential prerequisite for the provisional registration to be approved by the Vice Chancellor.
- 3 The candidates shall be informed through the guide about the approval of provisional registration with instructions regarding the continuation of the research work according to the norms and regulations of this University.
- 4 All correspondence to the University after the approval of the Provisional Registration of the candidate shall be addressed by the guide to the Controller of Examinations / Registrar (Evaluation) of this University.

## **18 NON-APPROVAL/ REJECTION OF PROVISIONAL REGISTRATION**

- 1 The Screening Committee, after scrutinizing the provisional registration application shall suggest modifications, point out deficiencies, if any, with instructions for resubmission on the suggested lines for reconsideration and recommendation of approval for provisional registration along with a compliance report signed by the guide.
- 2 If the Screening Committee rejects the provisional registration application on two successive occasions, then the candidate has to submit a fresh application along with the payment of stipulated fees as mentioned in Regulation 27.
- 3 The Screening Committee shall complete its work and intimate the rejection within three months.

## **19 DOCTORAL ADVISORY COMMITTEE**

- 1 There shall be a Doctoral Advisory Committee for every candidate for whom the provisional registration has been approved.
  - 2 The Doctoral Advisory Committee consists of the guide and such other persons as recommended by the guide.
  - 3 The total number of members in the Advisory Committee shall not exceed three (3) including the co-guide, if any.
  - 4 The guide shall be the Convener of the Doctoral Advisory Committee.
  - 5 At least one member shall be an expert in the field of research proposed from outside the institution where the candidate proposed to conduct research.
  - 6 The Doctoral Advisory Committee may also contain retired experts in the concerned field who have served as the Heads of the Departments prior to their retirement and are actively involved in their field of specialization or working in an approved research institution.
- 7 Duties of the Doctoral Advisory Committee
- a. The guide / Doctoral Advisory Committee shall prescribe the Course work for Part I Methodology examination for the provisionally registered candidate and communicate the same to the Controller of Examinations / Registrar (Evaluation) who shall get the approval of the Vice Chancellor.

- b. Monitoring the candidate's work periodically.
- c. To conduct the Part I Methodology examination.
- d. The Doctoral Advisory Committee should serve till the completion of the Thesis.
- e. The Doctoral Advisory Committee shall offer all professional assistance / guidance to facilitate the candidates in their research work at all stages. Any changes in the members of the advisory committee can be affected only with the approval of the Vice Chancellor.

## **20 PART I METHODOLOGY EXAMINATION**

1. The University shall conduct the Part I Methodology Examination for the provisionally registered Ph.D., candidates.
2. Part I Methodology Examination will be conducted at the end of six months but within one year from the date of Provisional Registration.
3. The Convener for Part I Methodology Examination shall be the guide.
4. The Examination shall consist of,
  - a. One written paper of three hours duration for 100 marks and Viva Voce for 100 marks
  - b. The questions shall cover the following;

### Section A: Principles of Research Methodology

- General Research Methodology.
- Biostatistical Methods in Medical Research.
- Ethical aspects of Medical Research.
- Animal Experimentation (where applicable).
- Instrumentation (where applicable).

### Section B: Selected Field / Subject of Research

- History.
- Literature Review.
- Recent Advances.
- Others.

In the Viva Voce, examination, the Doctoral Advisory Committee shall assess the candidate's knowledge in relation to the work done by him / her upto the time of methodology examination and also assess the aptitude and competence of the candidate to continue the research work.

## **21 SUCCESSFUL PART I METHODOLOGY EXAMINATION**

1. The candidate has to secure a minimum of 60% of the marks, both in the theory paper and in the orals, to be declared successful in the Part I Methodology Examination.
2. A detailed report on the performance of the Part I Methodology examination shall be submitted by the guide / convener to the University for further action.

## **22 UNSUCCESSFUL PART I METHODOLOGY EXAMINATION**

1. In case a candidate is not successful in the first Part I Methodology Examination, the Advisory Committee shall advise that the candidate to undergo a further course for a period not exceeding three months, at the end of which he/she shall be examined again by the same Committee and if found fit, shall be permitted to proceed with the research work.
2. A candidate who is not approved even at the second time by the Advisory Committee shall not be permitted to continue and his/her provisional registration shall be cancelled.

## **23 EXTENSION OF TIME FOR PART I METHODOLOGY EXAMINATION**

1. Extension of time shall be considered only on very special circumstances, if adequately substantiated by the candidate in the application forwarded through the guide for consideration by the Vice Chancellor.
2. If extension of time is granted, then penal fees as prescribed is to be paid as mentioned in Regulation 27.
3. The maximum extension period permissible is three months from the stipulated due date fixed by the University in the first instance for the conduct of the examination.

## **24 CONFIRMATION OF PROVISIONAL REGISTRATION**

1. The provisional registration of a candidate shall be confirmed on the receipt of the successful report of the Part I Methodology examination.
2. The Part I Methodology Examination report shall be approved by the Vice Chancellor of this University.
3. Intimation regarding confirmation of provisional registration shall be communicated to the candidate through the guide with a copy to the co-guide, if any, with instruction to the candidate to remit Rs.3000/- (Rupees Three thousand only) for confirmation of the Provisional Registration.

## **25 RESEARCH / COURSE WORK**

1. Provisionally registered candidates shall do original research work under the direct supervision / guidance of the guide and co-guide, if any.
2. The candidate will also utilize the services of the members of the Doctoral Advisory Committee for the betterment of the research work.
3. The Doctoral Advisory Committee shall conduct seminars once in six months to monitor and assess the quality of research work done by the candidate.
4. The candidate provisionally registered shall be required to work under the recognised guide.

5. Each candidate shall undergo courses of instructions as may be prescribed by the guide / advisory committee.
6. The university may conduct training classes, depending on facilities available, for the provisionally registered candidates covering the prescribed courses and areas of research study.

## 26 LOG BOOK

1. Every registered candidate shall maintain a log book.
2. The log book has to be supervised and signed by the guide / co-guide, if any.
3. The log book should be available at the time of Part I Methodology Examination and at all other times as deemed by the guide / Doctoral Advisory Committee.
4. The log book shall be maintained till the completion of the research work.

## 27 FEE STRUCTURE

1	Cost of Ph.D., provisional registration application Form	: Rs.1000.00
2	Non-refundable processing fees	: Rs.2000.00
3	Provisional registration	: Rs.3000.00
4	Recognition of postgraduate degree awarded by other Universities.	: Rs.500.00
5	Cost of application form for submission of synopsis	: Rs.25.00
6	Fee for submission of synopsis	: Rs.5000.00
7	Cost of application form for submission of thesis	: Rs.25.00
8	Fee for submission of thesis	: Rs.10000.00
9	Fees for extension of time for Part I methodology examination	: Rs.500.00
10	Fees for resubmitting a fresh application for Provisional registration	: Rs.500.00
11	Fees for extension of time of the Thesis submission	: Rs.1000.00
12	Fees for resubmission of the thesis	: Rs.1000.00

*Note: The payment schedule and amount is likely to vary periodically as prescribed by the University from time to time and the current stipulated fees has to be paid accordingly.*

## **28 RESEARCH FUNDING**

1. The candidate may to avail research grants with different funding agencies with the approval of the guide and the same may be intimated to the University.
2. The research grant availed should be acknowledged with full information at the time of submission of thesis.

## **29 TITLE OF THE THESIS**

1. The provisional title of the thesis shall be intimated to the University within six months of the successful completion of the Part I methodology examination.
2. Minor changes from the title originally submitted may be permitted in the synopsis on the recommendation of the Doctoral advisory committee.
3. The exact title of the thesis shall be intimated to the University during the submission of the synopsis
4. No change in the title shall be accepted after the submission of the synopsis.

## **30 SUBMISSION OF SYNOPSIS**

1. Synopsis should be submitted through the guide 3 – 6 months before the expiry of the period of the research prescribed.
2. The title of the thesis mentioned in the synopsis is the final title and the same title has to be maintained in the thesis.
3. Six copies of the synopsis has to be submitted with the prescribed fees and the synopsis submission application form (FORM IV) duly filled and signed by the candidate and the guide.
4. The synopsis of the thesis should include in brief introduction, aims, objectives, material & methods, observations, inferences, summary & conclusions etc. with a minimum of 20 pages and not exceeding 40 pages.
5. The synopsis should be submitted with the original certificates as required by the university regulations in the application (Form IV).
6. The synopsis submitted should have been scrutinized by the Doctoral Advisory Committee.

## **31 FORMATTING OF THESIS**

1. The thesis to be submitted by the candidate should be formatted according to the University regulations.
2. Every thesis shall have 2 major components;
  - a. The certificate component
  - b. The Research work component
3. The certificate component shall include the following;

- a. Certification and declaration by the candidate.
- b. Certification of the research work by the guide.
- c. Certification of the research work by the co-guide, if any.

While submitting the thesis every candidate shall submit with his/her application, a certificate from the guide/ supervisor that the thesis submitted is a record of research work done by the candidate during the period of study under the guide and co-guide, if any and that the thesis had not previously formed the basis for the award to the candidate of any degree, diploma, associateship, fellowship or other similar title together with the statement from the guide/ supervisor indicating the extent to which the thesis represents independent work on the part of the candidate. If the thesis submitted has formed in part, the basis for the award of a previous research degree, the candidate shall clearly set forth in a preface or written statement the portion or portions which have formed the basis for the award of the previous degree.

4. The research work component of the thesis represents the actual research work done by the candidate under the supervision of the guide / co-guide. It should have the following;
  - i. Introduction
  - ii. Aims and objectives
  - iii. Review of literature:
  - iv. Scope and plan of work
  - v. Materials and methods
  - vi. Results and discussion
  - vii. Summary and conclusions
  - viii. Recommendations, if any
  - ix. Appendix
  - x. Bibliography (Vancouver Style)
5. The number of pages of the text matter in the thesis should not exceed 250 pages.
6. Annexures, Charts, Graphs, Bibliography and Attached publications are not to be numbered along with the text.

## **32 SUBMISSION OF THESIS**

1. Thesis has to be submitted after 3 months after the submission of synopsis and up to 6 months, the period that coincides with the expiry of period of the research prescribed.
2. Every candidate shall submit six hard copies of the thesis along with the duly filled thesis submission application form (FORM V) and signed by the candidate and the guide along with the fees prescribed.
3. Every candidate shall submit 2 copies of the electronic version of the thesis. Mention should be made about CD formatting technique used in the preparation of the CD.

### **33 EXTENSION OF TIME FOR SUBMISSION OF SYNOPSIS / THESIS**

1. Extension of time beyond the prescribed period shall be considered and granted for genuine reasons, if adequately substantiated.
2. Applications for extension of time with adequate justifications should be signed and forwarded by the guide and submitted to the university before the expiry of the period prescribed for the submission of the synopsis.
3. Extension of time shall be considered and granted for a period of 6 months to 1 year without penal fees.
4. Further extension of time shall be considered for a period of 1 year with the approval of the Vice Chancellor with the payment of penal fees as may be prescribed from time to time and as mentioned in Research Regulations 27.
5. Extension of time beyond 2 years is not permissible, except under special circumstances with the approval of Vice Chancellor.
6. The period of extension granted covers the period for the submission of the synopsis and the final thesis as per the regulations.

### **34 Ph.D., EXAMINERS**

1. A panel of nine external examiners, both from India and abroad (Three from southern States, three from the rest of India and three from abroad), shall be recommended by the guide / supervisor for evaluation of the thesis. The list of examiners shall be forwarded at the time of submission of the synopsis in a confidential cover addressed to the Controller of Examinations.
2. The supervisor / guide shall provide a certificate stating that all the examiners suggested are experts qualified to value the Thesis.
3. In special cases, where it is warranted, on the recommendation of the guide/ supervisor two examiners may be appointed from outside India from the panel submitted.
4. If the panel of nine names for Ph.D., examiners is found to be inadequate, the University shall call for an additional panel of six names to be suggested by guide/ supervisor. Under special circumstances, if the additional panel is also found to be inadequate, the Vice Chancellor shall have the privilege of appointing examiners in consultation with the experts of his choice in the concerned field.
5. The Vice Chancellor shall appoint three examiners (one from a southern state, one from rest of India and one from abroad) after the submission of the synopsis to confirm their acceptance to evaluate the Thesis so as to avoid delay in the evaluation of Thesis. The Controller of Examinations shall correspond with these examiners to get their willingness to evaluate the Thesis.

### **35 VALUATION OF THESIS**

1. The thesis shall be referred by the Vice Chancellor for valuation to a Board of Examiners consisting of three members from the panel submitted by the guide.
2. The examiners after evaluation will report their comments in the “Ph.D., thesis reporting form” (FORM VI).

3. The Examiners have to submit their reports within 3 months from the date of receipt of the thesis.
4. The University shall send reminders to the examiners at the end of 2 months.
5. If no report is received by the University from an examiner even after 3 months, a copy of the thesis shall be sent to the fourth examiner selected by the Vice Chancellor.
6. If two of the examiners commend the thesis and the third examiner does not commend, the Vice Chancellor shall refer the thesis to a fourth examiner for his opinion and evaluation.
7. If any of the examiners point out clarifications and suggest resubmission with corrections, the candidate has to carry out all the suggested corrections in consultation with the guide and resubmit the thesis within three months for evaluation by the same examiner who had initially suggested the above.
8. The examiners will send their evaluation report to the supervisor / guide with a copy to the Controller of Examinations. A consolidated report shall be prepared by the supervisor/ guide which shall include the main points made by the individual examiners together with his / her remark with special reference to any of the critical comments made by any of the examiners.

### **36 COMMENDED THESIS**

1. A total of three commended reports are absolutely essential.
2. The three commendations by the examiners may be in one instance or in subsequent instances, in case of resubmission of thesis.
3. A commended report by any examiner at any time will be considered at all times.

### **37 REJECTED THESIS**

1. If the thesis is not commended by the three examiners, then the thesis is deemed as rejected.
2. The candidate can resubmit the thesis for the second time within six months to a maximum of one year by carrying out additional research work or modify the original thesis with suitable corrections and make new presentations which may enhance the quality of the thesis.
3. The resubmission of the thesis should be accompanied by the payment of the stipulated fees as decided by the Board of Management Council from time to time and as mentioned in Regulation 27.
4. The candidate shall not be permitted at any time to make more than two submissions of the thesis.

### **38 PUBLIC VIVA VOCE EXAMINATION**

1. A candidate whose thesis is commended by three examiners shall submit himself / herself for a Public Viva Voce Examination.
2. The Public Viva Voce examination shall be conducted by a panel of examiners consisting of one external examiner among the three examiners who have already evaluated the thesis and the guide who shall be the Convenor

3. The Public Viva Voce Examination is open to all faculty / non faculty members in the field of research work done by the candidate. The Public Viva Voce Examination will be conducted in the institution, where the candidate conducted the research work.
4. All clarifications / questions / suggestions made by the examiners who have evaluated the thesis shall be addressed by the panel of examiners to the candidate to answer and defend his / her thesis.
5. The panel of examiners of the Public Viva Voce Examination shall report their comments and sign the “Public Viva Voce Examination Reporting Form” (Form VII).
6. A successful report of the Public Viva Voce examination is essential for the final approval of the thesis.
7. A candidate who is not successful in the Public Viva Voce Examination shall be permitted to reappear for a similar examination for a second time within a period of 3 months.
8. A candidate shall not ordinarily be permitted to take the Public Viva Voce Examination, on more than two occasions.

### **39 DECLARATION OF THE FINAL RESULT**

1. The Controller of Examinations shall place before the Vice Chancellor the consolidated report received from the supervisor / guide along with the evaluation commended reports of the three examiners and the Public Viva Voce Examination report for approval and declaration of the results.
2. The successful candidate shall be notified and intimated through the guide.

### **40 ISSUE OF PROVISIONAL / DEGREE CERTIFICATE**

A candidate who has successfully passed the Public Viva Voce Examination and declared to have qualified for the Ph.D., degree, shall be issued Ph.D., provisional / degree certificate duly mentioning the branch / field of research / specialization and the guide and co-guide, if any, and the institution(s) where the candidate has carried out his / her research work.

### **41 PUBLICATION OF THESIS**


The University encourages the student to publish any part of the thesis at any time during the course of his/her research work. In case the research work is done in the departments of this University, prior permission must be obtained from the Vice Chancellor of this University. The copyright for the research shall be jointly owned by the student and the University. The raw data may also be handed over to the University if the student is unable to publish it. The University reserves the right to publish all or part of the data, or a consolidated report from the thesis with due acknowledgements to the student and the guide.

## 42 DISCREPANCIES, CONFLICT OF INTEREST AND DISCIPLINARY ACTIONS

The University, with the approval of the Board of Management Council will consider individual cases with special reference to discrepancies of any nature, conflict of interest and to initiate appropriate administrative actions against the candidate, guide and the co-guide.

## 43 MODEL CERTIFICATE

The Ph.D., Degree Certificate shall have the Faculty and the Branch specified. The model Certificate is shown below.

<b>JSS UNIVERSITY, MYSORE</b>		
<b>KARNATAKA, INDIA</b>		
		<b>Candidate Photo with</b>
<b>DOCTOR OF PHILOSOPHY</b>		
FACULTY OF .....		
BRANCH.....		
<p>The Board of Management of the <b>JSS UNIVERSITY, Mysore</b>, hereby makes known that the Degree of <b>DOCTOR OF PHILOSOPHY</b> has been conferred upon ..... ..... born on ..... he/she having been certified to have qualified to receive the same in the year ..... together with all the Rights, Privileges and Honours appertaining thereto.</p>		
<p>The title of the approved Research Thesis .....</p>		
Seal	Given under the seal of the University	
<b>Mysore</b>	<b>REGISTRAR</b>	<b>VICE-CHANCELLOR</b>

**Date of Convocation**

**JSS UNIVERSITY, MYSORE**

**Ph.D., REGULATIONS**

**2010**

**SECTION II**

**APPLICATION FORMS / ANNEXURES / REPORTING FORMS**

FORM I : Ph.D., Provisional Registration Application.

FORM II : Recognised guide.

FORM III : Recognised co-guide.

FORM IV : Synopsis Submission Application.

FORM V : Thesis Submission Application.

FORM VI : Thesis Evaluation Reporting.

FORM VII : Public Viva Voce Examination Reporting.

## JSS University, Mysore

Serial No.

APPLICATION FEE: Rs.

### FORM I

#### Ph.D., PROVISIONAL REGISTRATION APPLICATION FORM

Note : Please read the Ph.D., Regulation before filling the Application.

1. Details of Fee Remittance (To be filled by the Candidate)

Name of the Bank / Branch  
Amount Remitted  
Demand Draft / Chalan Number  
Date of issue / Remittance.

2. Particulars of the Applicant,

Name in Block Letters  
Date of Birth & Age  
Residential Address  
Residential Telephone,  
Mobile  
E-Mail  
Fax

Faculty Candidates  
Designation  
Department  
Name of the Institution  
Address of Institution  
Telephone.  
Fax  
E-mail

3. Academic Qualifications

(Certified Xerox copies of the degrees to be enclosed)

Degree	Name of Degree	Name of the Institution	Name of the University	Year of Qualification	Class or % of marks
U.G					
P.G. Diploma					
P.G. Degree					
Higher Specialty Degree					

4. Candidates Academic Distinctions / Publications / Research Experience

a. Awards / Medals / Prizes and Honours achieved during his / her educational period (separate list may be enclosed)

b. Whether the applicant has been a recipient of any Fellowship (UGC / ICMR / CSIR, etc.)

c. Whether the applicant has presented any research Papers / Posters at National / International Conferences / Seminars / Workshops. (Certified Xerox copies to be enclosed)

d. Whether the applicant has any publications / articles to his / her credit in any Accredited Journals. (Certified Xerox copies to be enclosed)

e. Details of any Research Experience already gained (Details of State Research Schemes / ICMR / CSIR projects) (Certified Xerox copies to be enclosed)

5. Details for Provisional Registration for Ph.D., Candidate

i. Candidate Category

A. Non Interdisciplinary

B. Interdisciplinary

ii. Candidate Status

A. Faculty Candidate

B. Non Faculty Candidate

iii Employment Status

A. Employed - Government / Private

B. Unemployed

iv. Research Particulars

1. Subject / field of Research

2. Provisional title of Research

3. Are there similar Research works in the University

4. Nature of Research Clinical / Non Clinical / Experimental / Animal Experimental

v. Research Department

1. Name of the proposed department for conducting Research.

2. Has the Department been recognized by this University for Ph.D., Research  
3. Name of the Institution in which proposed Research work is to be carried out

Full Address

Telephone Number

Fax

E-mail

vi. Guide

Name

Qualification

Designation

Address

Telephone

Fax

Departmental E-mail

If he/she recognised guide by this University?

Residential Address

Res. Telephone.

Res. Fax.

Personal Email

vii. Co-guide

Name

Qualification

Designation

Address

Telephone

Fax

Departmental E-mail

If he/she recognised guide by this University?

Residential Address

Res. Telephone.

Res. Fax

Personal Email

6. Details of Certificates / Annexures / Publications

Name of the attested Xerox copies of the certificate enclosed (separate list may be enclosed)

Annexures enclosed

List of publications, if any.

**7. Certification**

**A. Recognised Guides Consent Certificate**

I, ..... working as  
..... in the Department of ..... at  
.....

..... and a recognized guide of JSS University, hereby certify that I shall abide by the rules and regulations of the University and give my consent to officiate and carry out all the duties of a guide for ..... a candidate who is applying for Provisional Registration for the Ph.D., programme.

Station : Signature :  
Date : Name :  
Seal :

**B. Recognised Co-guides Consent Certificate (if applicable)**

I, ....., working as .....  
in the Department of ..... at .....  
..... and a recognized co-guide of JSS University, hereby certify that I shall abide by the rules and regulations of the University and give my consent to officiate and carry out all the duties of a co-guide for ..... a candidate who is applying for Provisional Registration for the Ph.D., programme.

Station : Signature :  
Date : Name :  
Seal :

**C. New co-guide Consent Certificate (if applicable)**

I, ....., working as ..... in  
the Department of ..... at .....  
..... hereby certify that I shall abide by the rules and regulations of the University and give my consent to officiate and carry out all the duties of a co-guide for ..... I have also submitted all my papers and the application form as per the rules and regulations seeking recognition as a co-guide in this University.

Station : Signature :  
Date : Name :

Seal :

**D. No objection certificate from the Head of the Department**

Consent is hereby given to ..... to carry out Research Work in the Department of ..... It is a recognised department for Ph.D., Research work in JSS University. The Department agrees to offer all necessary facilities for carrying out the Research Work for the above mentioned candidate.

There is no objection for the above mentioned candidate to do the research work in this department.

Station :  
Date :

Signature :  
Name :  
Seal :

**E. No objection certificate from the Head of the Institution**

Consent is hereby given to ..... to carry out Research Work in this Institution which is a constituent college of JSS University.

Name of the Institution.....

There is no objection for the above mentioned candidate to do the research work in the selected department of this institution.

Station :  
Date :

Signature :  
Name :  
Seal :

**F. Ethical Committee / Animal Ethics Committee / Clearance Certificate**

We, the Undersigned Chairman/Members of the Ethical Committee, functioning in ..... have studied the proposed research Subject/Project of ..... a candidate applying for provisional registration and hereby give the certificate of clearance of approval by this Ethical Committee.

Station :  
Date :

Signature of the Chairman/ Members of the Ethical Committee  
Name of the Institution:

Seal :

**G. Declaration by the Candidate**

I, ....., a candidate applying for Provisional Registration for Ph.D., in JSS University hereby certify and declare that all the particulars furnished in this Provisional Application are true and correct. In case any particulars given in the application are found to be incorrect at any stage and any discrepancies that may be noticed at any time of the Research Programme inclusive of discrepancies in the Title, Synopsis and Thesis, I agree to forfeit my candidature no matter at what stage I will be in at that time.

Station :

Signature :

Date :

Name :

**Instructions to the candidates**

1. Read the Ph.D., Regulations of this university before filling the applications form.
2. All entries in the provisional registration application must be written neatly and legibly by the candidate in his / her own handwriting in blue / black ink or neatly typed
3. Only one application should be submitted by each candidate
4. All the particulars required in the provisional registration application form should be furnished without any omission.
5. Incomplete filled applications will be deemed as rejected application
6. Candidates are specifically requested to note that error, overwriting and corrections, if any in the certificates are duly attested by the authorities concerned before submitting them.
7. The prescribed fees should be paid in the form of demand draft drawn in favour of “Fee Account, JSS University” payable at “Mysore”.
8. The prescribed fees are as follows;

a) Application Form fee (if downloaded from the website)	Rs. 1000/-
b) Non Refundable Processing fee	Rs. 2000/-
c) Registration fee	Rs. 3000/-
d) Fee for recognition of other university degrees, if any	Rs. 500/-

**JSS University, Mysore**  
**Ph.D., REGULATIONS**  
**FORM II**  
**Ph.D., Recognised Guide Particulars Form**

**(To be filled by the Recognised Guide only and submitted along with the Provisional Registration form of the Candidate)**

1. Name of the guide
2. Date of birth and age
3. Qualifications
4. Designation
5. Official Address  
    Telephone  
    Fax  
    E-mail
6. Residential Address  
    Telephone  
    Fax  
    Mobile  
    E-mail.
7. Recognised guide of this University  
(Enclose certified Xerox Copy of recognition).
8. No. of candidates already  
    guided and who have received  
    Ph.D., Degree

**9. Details of candidates currently Registered**

<b>Sl. No.</b>	<b>Name of the candidate</b>	<b>Date of Registration &amp; Session</b>	<b>Date of Methodology Exam</b>	<b>Date of submission of synopsis</b>	<b>Provisional title of thesis</b>	<b>Area of Research</b>

I shall abide by the rules and regulations of the University

Date :  
Station :

Signature :  
Seal :

**JSS University, Mysore**  
**Ph.D REGULATIONS**  
**FORM III**  
**Ph.D., Recognised Co-guide Particulars Form**

**(To be filled by the Recognised co-guide only and submitted along with the Provisional Registration form of the Candidate)**

1. Name of the Co-guide
2. Date of Birth & Age
3. Educational Qualifications with date and year of passing
4. Designation
5. Official Address  
Telephone  
Fax  
E-mail.
6. Residential Address  
Telephone  
Fax  
Mobile  
Personal E-mail.
7. Willingness to officiate as co-guide
8. Previous Experience as co-guide

**9. Details of candidates currently Registered**

Sl. No.	Name of the candidate	Date of Registration & Session	Date of Methodology Exam	Date of submission of synopsis	Provisional title of thesis	Area of Research

10. If willing to officiate as a co-guide for the first time, whether the applications for Registration as a co-guide has been submitted in FORM IX as mentioned in the Ph.D., Regulations.

I shall abide by the rules and regulations of the University

Date : \_\_\_\_\_ Signature  
Station : \_\_\_\_\_ Seal

**JSS University, Mysore**

**Serial No.**

**APPLICATION FEE : Rs.**

**FORM IV**

**Ph.D., Synopsis Submission Application Form**

*Note : Candidates should submit the duly filled Synopsis Application Form and Six copies of the Synopsis on or before the last working day of the Registration Sessions as given in Ph.D., Regulations.*

1. Details of Remittance :
  - a) Name of the Bank / Branch
  - b) Amount Remitted.
  - c) Demand Draft / Chelan No.
  - d) Date of issue / remittance.
  
2. Name of the Candidate :
3. Date of Birth & Age :
4. Place of Birth :
5. Name and Occupation of father / guardian :
6. Nationality :
7. Religion :
8. Designation of the Candidate :
9. Office Address with Tel./ Fax./E-mail. :
10. Address for Communication with Telephone/ Fax. / E-mail :
11. Name of the University, Register Number, Month and Year of Passing of the qualifying examination as mentioned in Ph.D., Regulation 3. :
12. Date, Month & Year of the Convocation at which the qualifying Degree was taken : :
13. If the Examination passed is from any other University, state the number and date of the communication recognising the degree : (Enclose certified Xerox Copies).
14. The month and year in which the candidate was provisionally registered (Enclose certified Xerox Copies of confirmation of Provisional Registration). :
15. Name of the guide :
16. Name of the Department / Institution where the Research Work was done Mention may be made about the additional places of the Research Work, if any. :

17. Title of the Thesis in Block Letters :
18. Signature of the Candidate :
19. Signature of the guide with Designation :
20. Signature of the Head of the Department :  
where the candidate conducted the  
Research Work.
21. Signature of the Head of the Institution :  
where the candidate is working
  
22. Station with Date :

### **Instructions to the candidates**

1. The synopsis submission application form (FORM IV) should be completely filled and submitted through the proper channel
2. Six copies of the synopsis should be submitted as per the university regulations
3. The qualifying postgraduate degree certificate should be submitted in original ( attested / photostat copies will not be accepted)
4. The communication (in original) confirming the provisional registration for the Ph.D., degree.
5. A fee of Rs. 5000/- in the form of a demand draft drawn in favour of “ JSS University Fee Account” and payable at “Mysore” should be enclosed.

**JSS University, Mysore**

**Serial No.**

**APPLICATION FEE : Rs.**

**FORM V**

**Ph.D., Thesis Submission Application Form**

1. Details of Remittance :
  - a) Name of the Bank / Branch.
  - b) Amount Remitted.
  - c) Demand Draft / Challan No.
  - d) Date of issue / remittance
  
2. Name of the Candidate :
3. Date of Birth & Age :
4. Place of Birth :
5. Name and Occupation of father / guardian :
6. Nationality :
7. Religion :
8. Designation of the Candidate :
9. Office Address with Tel./Fax./E-mail. :
10. Address for Communication with Telephone / Fax/ E-mail :
11. Name of the University, Register Number, Month and Year of Passing the qualifying examination (vide Ph.D., Regulation 3.) :
12. Date, Month & Year of the Convocation at which the qualifying Degree was taken :
13. The Examination passed is from any other University, state the number and date of the communication recognising the Degree (Enclose certified Xerox Copies). :
14. The month and year in which the candidate was provisionally registered (Enclose certified Xerox Copies of confirmation of Provisional Registration). :
15. Name of the guide :
16. Name of the Department / Institution where the Research Work was done Mention may be made about the additional places, if any. :
17. Title of the Thesis in Block Letters :
18. Whether the applicant submitted the Thesis previously for the Degree; if so, the month/s and :

- year/s in which the Thesis was submitted
19. If the Thesis is re-submitted, please mention :  
the reasons for re-submission  
a) Corrections carried out and resubmitted.  
b) Rejected in the first instance and resubmitted  
with additional work.
20. Signature of the Candidate :
21. Signature of the guide with designation :
22. Signature of the Head of the Department :  
where the candidate conducted the  
Research Work.
23. Signature of the Head of the Institution  
where the candidate is working :
24. Station with Date :

### **Instructions to candidates**

- A. The Thesis submission application form (FORM V) should be completely filled and submitted through the proper channel.
- B. Six copies of the Thesis should be submitted as per the university regulations
- C. Two copies of the Thesis should be submitted in the electronic version. The technic / Method used for CD formatting of the Thesis should be mentioned clearly
- D. A fee for Rs. 10,000/- in the form of demand draft drawn in favour of “JSS University Fee Account” and payable at Mysore should be enclosed
- E. All original certificates should be enclosed as per the requirements of the university.

**JSS UNIVERSITY, MYSORE**  
**Ph.D., REGULATIONS**  
**FORM VI**  
**Ph.D., EVALUATION REPORT**

**Note:**

- a. To be filled and returned to the University within 2 months from the date of receipt of the communication.
  - b. Additional papers may be used, if need be
- 

1. NAME OF THE CANDIDATE:
2. UNIVERSITY REFERENCE NO. :
3. TITLE OF THESIS:
4. FOCUS / OBJECTIVES OF THE RESEARCH WORK DONE:
5. SIGNIFICANCE OF THE RESEARCH WORK DONE:
- 6 RECOMMENDATIONS:
  - A. Approved subject to successful defence of the clarification at the Public Viva Voce Examination.  
Questions to be addressed at public viva voce examination, if any.
  - B. Rejected and reasons for rejection
7. SUGGESTIONS FOR RESUBMISSION, IF ANY:
8. OTHER COMMENTS, IF ANY:
9. NAME OF THE EXAMINER:
10. DESIGNATION:
11. ADDRESS:
12. STATE, COUNTRY, POSTAL PIN CODE:
13. SIGNATURE:
14. DATE:
15. OFFICE SEAL:

**JSS UNIVERSITY, MYSORE**

**Ph.D., REGULATIONS**

**FORM VII**

**Ph.D., PUBLIC VIVA EXAMINATION REPORT**

NAME OF THE CANDIDATE :

DATE OF PRESENTATION :

VENUE :

TITLE OF THESIS :

COMMENTS :

RESULTS: APPROVED / NOT APPROVED :

NAME, ADDRESS AND SIGNATURE OF THE  
EXTERNAL EXAMINER :

NAME, ADDRESS AND SIGNATURE OF THE  
CONVENOR/ GUIDE :

DATE :

PLACE :

**JSS UNIVERSITY, MYSORE**

**Ph.D., REGULATIONS**

**SECTION III**

**NEW RECOGNITIONS**

1. Recognition of New guides.
2. Recognition of New co-guides
3. Recognition of New Departments / Institutions offering Recognised Postgraduate courses.

## **Ph.D., REGULATIONS**

### **New recognitions I**

#### ***Recognition of a New Guide***

1. A qualified faculty member working in an academic department / constituent institution of this University is eligible to be recognized as a guide for the Ph.D., programme of this University.
2. Willing / Interested Faculty Members shall apply for recognition by duly submitting the Ph.D., guide recognition application form (FORM VIII) as mentioned in the Ph.D., Regulations.
3. A person shall be recognized as a guide in a faculty, if he/she possesses anyone of the following;
  - i) Not less than 15 years of teaching and research experience after obtaining his / her postgraduate qualification and shall also have not less than 10 years postgraduate teaching experience as a faculty member.
  - ii) A Ph.D., degree and not less than 3 years postdoctoral teaching/research experience with at least THREE RESEARCH PUBLICATIONS as the Principal author or co-author to his / her credit in any indexed / accredited journal.
  - iii) The qualifications prescribed in (ii) shall be applicable for faculty members under the specialty of Pharmacy.
4. A person having the qualification of non-medical M.Sc., in the Faculty of Medicine, with Ph.D., shall be recognized as guide if he / she is having more than 3 years of Postdoctoral Experience in an approved postgraduate teaching department / MCI recognized institution with a minimum of three research publications in any indexed / accredited journals as principal author or co-author to his / her credit.

**JSS UNIVERSITY, MYSORE**  
**Ph.D., REGULATIONS**  
**FORM VIII**  
**APPLICATION FOR RECOGNITION AS A NEW GUIDE**  
**FOR Ph.D., RESEARCH PROGRAMME**

*Note : Separate / Additional Papers may be added accordingly.*

1. Name of the Applicant
2. Date of Birth & Age
3. Qualifications with year of passing
4. Field of specialization
5. Present designation
6. Date of joining the service
7. Date of superannuation
8. Official Address with Telephone /  
Fax / Department E-mail.
9. Residential Address with Telephone /  
Fax / Personal E-mail.
10. Date of award of the Ph.D., degree and the awarding University.
11. Title of thesis.
12. If already guide of any university, attach copy of order of recognition
13. Teaching posts held till date
14. Teaching experience  
  
    Under Graduate  
  
    Post Graduate
15. Academic achievements (Prizes & Medals etc.,)
16. Research Publications  
  
    i accredited / index journals  
    ii before qualifying for Ph.D.,  
    iii after Ph.D., qualification

17. Papers & posters / presentation at national/international conferences.
18. Author of textbooks / chapters in textbooks.
19. Membership of scientific bodies
  - i National
  - ii International
- 20 Fellowships
  - i National
  - ii Internationals
21. Research Experience
  - i M.Phil.,
  - ii Postdoctoral
22. Whether research conducted in interdisciplinary area if so, what are the fields involved
23. Enclosures
  - i Service particulars
  - ii Copies of academic qualifications
  - iii Teaching appointment particulars
  - iv Guide recognition of other Universities
  - v Publications
  - vi Presentations
  - vii Membership
  - viii Fellowship
  - ix Research programme details
  - x Prizes / citations
24. Signature of candidate
25. Signature of Head of the Institution

**Ph.D., REGULATIONS**  
**NEW RECOGNITION II**  
**RECOGNITION OF A NEW CO-GUIDE**

1. A Faculty member working in a recognized postgraduate department/constituent Institutions of this University is eligible to be recognized as a co-guide for the Ph.D., research programme.
2. The applicant for registration as a co-guide shall apply to the University by duly filling the FORM IX of the Ph.D Regulations and submitting all the required enclosures through proper channel.
3. A person shall be recognized as a co-guide in any faculty, if he/she possess a postgraduate degree with atleast 5 years of P.G. teaching/research experience in the University departments (or) in an approved affiliated postgraduate teaching institution or in recognized research institution of the University with at least 2 (Two) research publications as the principal author or co-author to his/her credit in any accredited / indexed journal.
4. The approved co-guide shall submit his/her consent letter while accepting the request of the candidate to officiate as co-guide for his/her research leading to the award of Ph.D., Degree.
5. A co-guide is eligible to have a maximum of 6 candidates.
6. The duties of co-guide are mentioned in the Ph.D., Regulations.
7. The Faculty Member registered and recognized as a co-guide by this University is eligible to become a guide after satisfying all the rules and regulations of the University.

**JSS UNIVERSITY, MYSORE**  
**Ph.D., REGULATIONS**  
**FORM IX**

**APPLICATION FOR RECOGNITION AS A NEW CO-GUIDE**  
**FOR Ph.D., RESEARCH PROGRAMME**

*Note : Separate / Additional Papers may be added accordingly.*

1. Name of the Applicant.
2. Date of Birth & Age
3. Qualifications with year of passing
4. Field of specialization
5. Present designation
6. Date of joining service
7. Date of Superannuation
8. Official Address with Telephone/ Fax / Department E-mail.
9. Residential Address with Telephone/ Fax / Personal E-mail.
10. Date of award of the Ph.D., and the awarding University.
11. Title of thesis
12. Teaching posts held till date
13. Teaching experience
  - Undergraduate
  - Postgraduate
14. Academic achievements (prizes & Medals etc.,).
15. Research Publications
  - i accredited / index journals
  - ii before qualifying for Ph.D.,
  - iii after Ph.D., qualification
16. Papers & posters / presentation in National/International Conferences
17. Author of Textbook / chapters in textbooks.

18. Membership of scientific bodies

- i. National
- ii. International

19. Fellowships

- i . National
- ii. International

20. Research Experience

- i. M.Phil.
- ii. Post doctoral

21. Whether research conducted in inter disciplinary area if so, what are the fields involved

22. Enclosures

- i. Service particulars
- ii. Copies of academic qualifications
- iii. Teaching appointment particulars
- iv. Guide recognition of other universities
- v. Publications
- vi. Presentations
- vii. Membership
- viii. Fellowship
- ix. Research programme details
- x. Prizes / Citations

23. Signature of candidate

24. Signature of Head of the Institution

25. Signature of Director

**Ph.D., Regulations  
New Recognitions III**

**RECOGNITION OF NEW DEPARTMENTS / CONSTITUENT INSTITUTION  
OFFERING MEDICAL COUNCIL OF INDIA/DENTAL COUNCIL OF INDIA  
/PHARMACY COUNCIL OF INDIA AND OTHER CENTRAL COUNCILS, OFFERING  
RECOGNISED POSTGRADUATE COURSES IN AFFILIATED INSTITUTIONS OF  
THIS UNIVERSITY**

- a. Departments / Constituent Institutions seeking approval / recognition to research programme shall apply to this University in the prescribed form as mentioned in the FORM X of the Ph.D., Regulations.
- b. They shall submit the duly filled form along with supporting documentary evidences showing the possession of required facilities for the conduct of Ph.D., research programme in their departments.
- c. The Medical/Dental/Pharmacy/ other Colleges seeking the recognition of the departments shall have the facilities according to the needs of the research
- d. The application of the Department/ Institution, if found satisfactory, shall be placed before the Board of Studies in Research for its scrutiny for placing its recommendations to the Academic Council for approval.
- e. The Department recognized for doing Ph.D., shall be reviewed once in 5 years
- f. There should be an Ethical Committee/ Animal Ethical Committee in every Institution where the Research work is proposed to be done. The Ethical Committee should give the clearance/approval and certify the same in the Provisional Registration form.
- g. The Ethical Committee should be constituted as per the guidelines given in the Ph.D., regulations.

**JSS University, Mysore**

**Ph.D., REGULATIONS**

**FORM X**

**APPLICATION FOR RECOGNITION OF THE DEPARTMENT / INSTITUTION FOR  
Ph.D., RESEARCH PROGRAMME**

(to be filled and submitted by the Department through the proper channel)

*Note : Separate / Additional Papers may be added accordingly.*

Particulars of the Department / Institution

Name of the Institution with full address, Telephone No.

Fax No., Department E-mail.

Whether the Institution is affiliated to the University.

Name of the Department seeking recognition

Does the department conduct recognized Postgraduate courses ?.

If Yes :

Name of the PG courses

Year of starting PG courses

Annual intake of students

PG Degree

PG Diploma

Staff pattern (separate sheet may be attached)

Professional

Technical

Paramedical

Subordinate Staff

Department particulars

Area

Layout Plan

Lecture Halls

Seminar Rooms

Laboratories

Animal House

Animal experimental Lab

Library facilities

Total Number of Books

Number of Books in the specialty

Total Number of Journals

Number of Journals in the specialty

List of Equipments

General work  
Specialty work  
Research work

Financial Particulars

a Annual Budget allotment

- i) Institution
- ii) Department
- iii) Research.

b Research funding schemes

c Institutional tie-ups for research programme

d Publication of papers in the last 3 years.

List of Papers in accredited indexed journals

e Availability of recognized guide / guides in the department

f Research activity

- i Past
- ii Present

g Details of the Ethical Committee

h Academic achievements of the Department.

i Additional information, if any

j Recommendations of the head of the Institution

k Recommendations of the Directorate

**JSS University, Mysore**

**Ph.D., REGULATIONS**

**SECTION IV**

**WEBSITE**

1. Current Regulations.
- 2, Application Forms / Annexure/ Reporting Forms.
3. New Recognitions.
4. Ph.D., Approved Thesis with Titles.
5. Ph.D., Registered Thesis with Titles.

## REGULATIONS

### UNIVERSITY WEBSITE

The University Website is [www.jssuni.edu.in](http://www.jssuni.edu.in)

1. The candidate shall visit the website and collect information regarding the Ph.D., Regulations / Registration etc., as mentioned below,
  - I. Current Regulations.
  - II. Current Application Forms, Annexures and Reporting Forms.
  - III. New Recognitions.
  - IV. Approved Ph.D., Thesis with Titles and Ph.D.,
  - V. Registered Ph.D., Thesis with Titles.
2. The candidate shall declare at the time of submission of the provisional registration that the website has been visited and that there is no repetition / duplication of the subject selected by him / her and duly approved by the guide.
3. The University shall maintain the above website and periodically update all the modifications and revisions from time to time as approved by the Research Board, Standing Academic Board and the Governing Council of this University.
4. The updated information in the website shall be printed subsequently in the Ph.D., Regulation Booklet accordingly.
5. The candidate should also visit the research websites of other Universities for gaining information and knowledge in the field of research.

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